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Treatments of Advanced Non-Small Cell Lung Cancer (NSCLC) in an Italian Center: **Drug Utilization and the Treatment** Costs of Innovative Drugs

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ABSTRACT

AIM: To provide an updated picture of the therapies most commonly used in the advanced Non-Small Cell Lung Cancer (NSCLC) setting, together with the relevant costs.

METHODS: This study considered the clinical records of patients affected by stage IIIb and IV NSCLC treated in the AORN dei Colli - Plesso Monaldi in Naples during the period January 2016-July 2017 and diagnosed since 2014, as well as the pathology lab database. Multivariate analyses were performed in order to identify the main predictors of time to next treatment and the main cost drivers.

RESULTS: Data were collected on 575 patients, who were mainly affected by adenocarcinoma (62%) and squamous cell carcinoma (34%). 64% of patients were reported having been tested for molecular biomarkers (among the patients tested, 13% were EGFR+, 4% Alk t, and 1% ROS1 t). In accordance with the international guidelines, chemotherapy – as single agent or platinum-based doublets - was the prevalent first-line treatment, except among EGFR+ and ROS1 t patients, for whom the target therapy was authorized as first-line therapy. As second-line treatment, the target therapy and immune checkpoint inhibitors (nivolumab) were the most commonly used treatments. Drug expenditure per patient was remarkably higher in mutated patients (€ 29,053) versus wild-type patients, or patients with unknown mutational status (€ 11,854), who received just chemotherapy. The costs sustained in 2017 are proportionally higher than those sustained in 2016, mainly due to the increasing eligibility to target therapy and immune checkpoint inhibitors and the wider biomarker analysis performed. From multivariate analyses, among the predictors of a longer time to next treatment (TTNT) were a better performance status and target therapy both in first and second line. The therapy for squamous cell carcinoma and other nonadeno histotypes turned out to be less expensive in patients treated just in the first line than that for adenocarcinoma and adenosquamous carcinoma. The use of immune checkpoint inhibitors in the second line results in increased costs compared to the use of chemotherapy. Also the target therapy in the first line results in an increase in the total costs with respect to chemotherapy in patients who received just a first-line therapy.

CONCLUSIONS: Generally, in this study population, the treatments administered are in accordance with the international guidelines. The costs borne by the Health Systems are higher for the target therapy and the immune checkpoint inhibitors.

Keywords

Carcinoma; Non-Small Cell Lung Cancer; Economics; Mutation

INTRODUCTION

In Italy, lung cancer is among the most frequently diagnosed tumors, accounting for 11% of the new diagnoses of cancer [1], 85-90% of which are attributable to smoking. The incidence is lower in females, especially in Southern Italy.

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ORIGINAL RESEARCH

The incidence is greater for Non-Small Cell Lung Cancers (NSCLCs) - mainly categorized as adenocarcinomas (39% of all lung cancers), squamous cell carcinomas (21%), and large-cell carcinomas (2%) – followed by Small Cell Lung Cancers (SCLCs, 12%).

In males, lung cancer is the first cause of cancer-related mortality (26%), while in females it is the third cause of cancer-related mortality (11%). This high mortality depends on a generally late diagnosis (which in most cases occurs at an advanced stage of disease), resulting in low life expectancy (5-year survival = 14.3%) and poor drug effectiveness.

While new screening methods are currently under study, a new arsenal of treatments (target therapies and immune checkpoint inhibitors) has been developed and recently introduced into the market, making it possible to achieve better outcomes, although curing advanced NSCLC is not yet possible.

In particular, over the last years, a small subset of patients, mainly affected by adenocarcinoma, benefited from therapies targeting mutations on Epidermal Growth Factor Receptor (EGFR), Anaplastic Lymphoma Kinase (ALK) translocation, and translocation in the receptor tyrosine kinase ROS proto-oncogene 1 (ROS1) [2].

Therapeutic algorithms are increasingly branched and the guidelines of the leading Scientific Societies need to be frequently updated [3-8].

In patients diagnosed with advanced NSCLC (stage IIIb-IV) and with ECOG Performance Status = 0-2, chemotherapy with doublets of platinum-based third-generation drugs is recommended as first-line therapy (in patients without molecular drivers and with Programmed Death-Ligand 1 Tumor Proportion Score <50%). These patients, after 4 cycles and if the disease is well controlled, may receive a maintenance therapy with pemetrexed and bevacizumab. However, in the presence of EGFR mutations, which are detected in 10-15% of Italian patients [8], the target therapy (TT) is carried out with tyrosine kinase inhibitors (TKIs), such as gefitinib, erlotinib, and afatinib as first-line therapy. In case of tumor progression, osimertinib may be administered in the presence of T790M mutation; otherwise, chemotherapy is used. In case of ALK translocation, crizotinib and alectinib are used in first line, and alectinib and ceritinib are used in second line. Crizotinib is also used in patients carrying a ROS1 translocation.

A new class of drugs – the immune checkpoint inhibitors – recently entered the market: they target the interaction between Programmed Death-Ligand 1 (PD-L1) in cancer cells and Programmed cell Death protein 1 PD-1 in T cells. When this interaction occurs, the response of the T cells against cancer cells is shut down: immune checkpoint inhibitors restore the T cells' ability to fight cancer cells. Initially developed in the melanoma setting, these agents are now used also in other types of hematological and solid cancers, including NSCLC [9]. Nivolumab, pembrolizumab, and atezolizumab have been available in Italy since March 2016, July 2017, and July 2018, respectively. Immune checkpoint inhibitors have become the standard of care for a subset of squamous and non-squamous NSCLC patients [2].

Pembrolizumab is the first-line treatment of choice in metastatic NSCLC patients whose cancer expresses PD-L1 with a Tumor Proportion Score (TPS) \geq 50% and are negative for EGFR mutations, ALK, and ROS1 translocations [10], thus replacing chemotherapy in these patients. It is used as second-line therapy [10] if the tumor expresses PD-L1 with a Tumor Proportion Score (TPS) \geq 1%. In contrast, nivolumab and atezolizumab are indicated as second-line therapy, regardless of the PD-L1 expression [2].

As shown by the observational study LIFE [11], 40.4% of NSCLC patients with advanced disease who finish the second-line therapy receive also a third-line treatment, which varies depending on the histology and mutation patterns, as well as the previous therapies received.

The AORN dei Colli - Plesso Monaldi in Naples is one of the reference centers for lung cancer in Italy.

This study considered the clinical records of patient affected by NSCLC at advanced stage (IIIb and IV) treated in the AORN dei Colli - Plesso Monaldi (Naples) over the time frame January 2016-July 2017, with the aim of providing a picture of the most commonly used therapies and the relevant costs.

During the study period, target therapies were already available, thereby resulting in a reliable real-world picture of the currently used therapy, keeping in mind that in this therapeutic area treatments change rapidly, and that during the study period some variations were made. For example, after AIFA's Resolution 252/2017 of February 2017 [12], the nivolumab indication was extended to cover not only squamous, but also non-squamous NSCLC.

Considering the recent therapeutic innovations in the treatment of NSCLC, multivariate analyses were performed in order to identify the main cost drivers. The economic impact of a more selective use of immune checkpoint inhibitors (after the detection of PD-L1 expression) is also discussed.

PATIENTS AND METHODS

This study considered the clinical records of patient affected by NSCLC at advanced stage (the most frequently stage at the moment of diagnosis) treated in 3 Operative Units of the AORN dei Colli - Plesso Monaldi in Naples over the time frame January 2016-July 2017. Only patients diagnosed in and after 2014 were considered, because since 2014 molecular diagnosis is considered part of the routine diagnostic tests for NSCLC.

During this study, the VII edition of the TNM classification was used [13].

The data collected were managed through a unique and anonymous personal identification code, according to the rules of the Italian Medicines Agency [14]. Therefore, neither Ethics Committee's approval nor any informed consent were required. The study was notified to the local Ethics Committee of AORN Dei Colli on September 20th 2018, protocol number 18050/2018.

The data on tumor histology, grading, and mutational status were retrieved from the pathology lab database, while data on the pharmaceutical treatments and sequelae, as well as other demographic and essential clinical data (surgery, date of diagnosis, smoking status) were obtained from the patients' records.

Patients carrying PD-L1 mutation were generally categorized as wild-type (WT). Patients taking pembrolizumab (n = 1), approved just at the end of the study period, were excluded from the database. In case of inconsistencies, patients were eliminated from the database.

In order to determine the drug costs per patient, every patient was categorized as *complet-ed*, *ongoing*, or *lost*, on the basis of their therapeutic status upon the closing of the database. The associated drug costs were calculated only in patients who had concluded the therapy, starting from the cost per mg or dosing unit, as defined by the hospital pharmacy.

Data on Progression Free Survival (PFS) were not available. Therefore, time to next treatment (TTNT) were taken as proxy of PFS. To be more precise, TTNT1 and TTNT2 are the time between diagnosis and therapy reassessment after failure of first or second line, respectively.

STATISTICAL ANALYSES

Multivariate linear regressions were performed, in order to evaluate the impact of baseline characteristics, patient status, and therapy on TTNT and total treatment costs.

For each dependent outcome, a complete model was at first considered, which included all patients characteristics: gender, age, performance status, duration of therapy, smoking status, staging, histotype, presence of mutations, status of the patients in therapy (ongoing first/ second/third line, having completed first/second/third line), and type of treatment for each line. Independent predictors were selected using LASSO (Least Absolute Shrinkage Selector Operator) regression [15] in order to define the best reduced model that predicts time to next treatment (TTNT1 and TTNT2) and total cost. Furthermore, multicollinearity was checked using the Variance Inflation Factor (VIF). If more than one parameter has VIF>4, the AIC criteria was used to choose the best model. To avoid confounding due to treatment interruption or patients lost to follow-up, the analysis on total cost was conducted, separately, on patients who didn't undergo second-line therapy patients (patients 1L), patients who didn't undergo third-line therapy (patients 2L), and patients who received a complete or partial third-line therapy (patients 3L). Reduced models are shown in this paper, while complete models are reported in the Supplementary files. All analyses were performed using the computer software R 3.1.2 [16].

RESULTS

Pathology and Histology

The database contained data about 577 patients diagnosed with advanced stage of NSCLC after 2014. For the purpose of this analysis, 1 adenocarcinoma patient was eliminated because treated with pembrolizumab and 1 patient was excluded due to a mistake in data (second-line treatment was a drug prescribed just in patients affected by small cell lung cancer).

Therefore, data analyzed were about 575 patients (Table I).

		All patients (n = 575)	New diagnoses January 2016-July 2017 (n = 439)
Gender, n (%)	Female	158 (27%)	118 (27%)
	Male	417 (73%)	320 (73%)
Age at diagnosis,	Female	63.5 (10.4)	63.7 (10.9)
mean (SD)	Male	65.8 (8.6)	65.8 (8.4)
	All	65.2 (9.2)	65.2 (9.2)
Stage at	IIIB	165 (29%)	134 (31%)
diagnosis, n (%)	IV	410 (71%)	304 (69%)
Histology, n (%)	Adenocarcinoma	356 (62%)	259 (59%)
	Squamous cell carcinoma	194 (34%)	159 (36%)
	Lung carcinoma NOS	20 (3%)	15 (3%)
	Adenosquamous carcinoma	3 (1%)	3 (1%)
	Anaplastic carcinoma	1 (0%)	1 (0%)
	Anaplastic sarcomatoid carcinoma	1 (0%)	1 (0%)
Surgery, n (%)	No	424 (74%)	316 (72%)
	Yes	151 (26%)	122 (28%)
Smoking habit,	Smoker	224 (39%)	171 (39%)
n (%)	Male Male Male All All NO NO Squamous cell carcinoma Squamous cell carcinoma Lung carcinoma NOS Adenosquamous carcinoma Anaplastic carcinoma Anaplastic sarcomatoid carcinoma No Yes bit, Smoker Former smoker Nonsmoker	283 (50%)	216 (50%)
	Nonsmoker	64 (11%)	48 (11%)
ECOG PS, n (%)	0	236 (41%)	189 (43%)
	1	260 (45%)	201 (46%)
	2	60 (10%)	34 (8%)
	3	11 (2%)	8 (2%)
	Missing	8 (1%)	6 (1%)
Duration of follow-u	ıp, mean months (SD)	8.6 (7.3)	6.0 (4.0)

Table I. Characteristics of patients with at least one visit during the time frame January 2016-July 2017

ECOG PS = Eastern Cooperative Oncology Group Performance Status; NOS = not otherwise specified; SD = standard deviation

Histotype	Squamous	Adeno	Other	Total
WT/UK, n (%)	29 (7.9)	265 (71.8)	10 (2.7)	304 (82.4)
EGFR mutation, n (%)	6 (1.6)	41 (11.1)	(0.0)	47 (12.7)
ALK translocation, n (%)	0 (0.0)	16 (4.3)	(0.0)	16 (4.3)
ROS1 translocation, n (%)	0 (0.0)	2 (0.5)	(0.0)	2 (0.5)
Total, n (%)	35 (9.5)	324 (87.8)	10 (2.7)	369 (100.0)

Table II. Biomolecular determination in the whole database (n = 575). Only patients who had undergone histotype determination (n = 369) were included. "Adeno" groups both adenocarcinoma and adenosquamous carcinoma histotypes

ALK = Anaplastic Lymphoma Kinase; EGFR = Epidermal Growth Factor Receptor; WT/UK = wild-type and unknown

The study considered two different populations:

- all the patients recorded in the database (n = 575); -
- incident cases during the observation period (n = 439).

The data collected show that 73% of patients were male and that the mean age was 65. In 2017, histology for NSCLC cases was defined mainly via bioptic material and cytological samples. The main histotypes were:

- adenocarcinoma: 62%;
- squamous cell carcinoma: 34%. _

Other histotypes were not otherwise specified (NOS), adenosquamous carcinoma, anaplastic carcinoma, and anaplastic sarcomatoid carcinoma.

Considering the whole database, 26% of patients underwent surgery, 89% were current or former smokers. The mean duration of follow-up was 9 months.

Considering all patients in the database, 64% of patients were reported having been tested for EGFR, ALK mutation and, more recently (since 2017), ROS1 and PD-L1. Among the samples analyzed, 13% had EGFR mutation, 4% had ALK, and 1% had ROS1. According to

the pathology lab data, the prevalence of EGFR, ALK, and ROS1 mutations equals to 18% of the series analyzed (Table II).

Molecular characterization was performed in a low percentage of squamous histotypes (18%). On the contrary, the test rate for adeno histotypes (always including adenocarcinoma and adenosquamous carcinoma in this article) was 88% (Table II).

Among the patients diagnosed in the period 2016-2017 (n = 439): EGFR mutation was found in 11%, ALK in 4%, and ROS1 in 1%. Preliminary data on a subset of patients tested for PD-L1 (n = 33) showed a level above 1% in 63% of them.

Therapeutic Regimens

Figure 1 and Table III show the therapeutic regimens used in the study period in patients diagnosed since 2014 in the main histotypes only: adeno and squamous cell carcinoma.

Table I-suppl, in the Supplementary files, provides a complete panorama about therapeutic regimens in non-surgical patients.

In patients with advanced stages of disease (IIIb-IV), chemotherapy – as single agent or platinum-based doublets – is the prevalent first-line treatment, except among EGFR⁺ and ROS1 t patients, for whom TKIs were authorized as first-line therapy. On the contrary, as for second-line treatment, target therapy and immune checkpoint inhibitors (nivolumab) were the most commonly used.

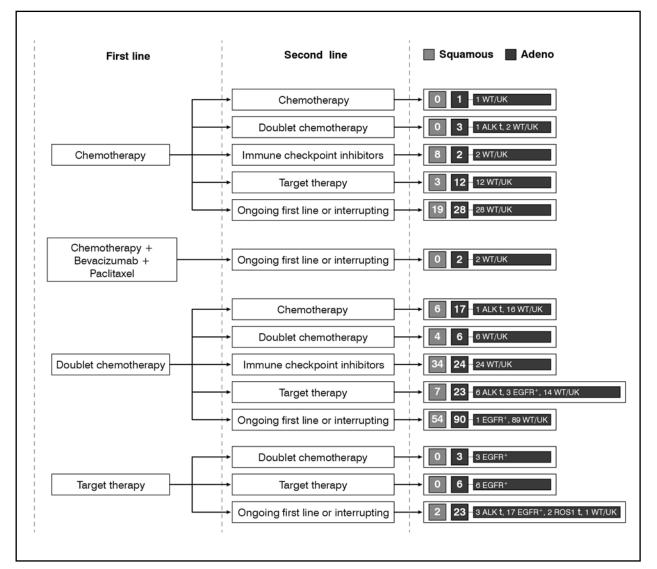


Figure 1. Therapeutic regimens in non-surgical patients affected by adenocarcinoma and adenosquamous carcinoma (together labelled as "adeno") and squamous cell carcinoma. In addition, 6 patients (1 squamous and 5 adeno) took Best Supportive Care (data not shown)

ALK t = Anaplastic Lymphoma Kinase translocation; EGFR $^+$ = Epidermal Growth Factor Receptor mutation; interrupting = main reasons for interrupting drug treatment were: worsened health conditions, no information available, gone to radiotherapy, disease progression; ROS1 t = ROS1 translocation; WT/UK = wild-type and unknown

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	Adeno	Squamous	Total
CT, n (%)	41 (33)	13 (19)	54 (28)
Single agent CT, n (%)	27 (66)	9 (69)	36 (67)
Docetaxel	19 (70)	6 (67)	25 (69)
Gemcitabine	6 (22)	0 (0)	6 (17)
Pemetrexed	0 (0)	1 (11)	1 (3)
• Vinorelbine	1 (4)	2 (22)	3 (8)
Vinorelbine os	1 (4)	0 (0)	1 (3)
CTD, n (%)	14 (34)	4 (31)	18 (33)
Carboplatin-gemcitabine	3 (21)	1 (25)	4 (22)
Carboplatin-pemetrexed	4 (29)	2 (50)	6 (33)
Carboplatin-vinorelbine	0 (0)	1 (25)	1 (6)
Cisplatin-docetaxel	1 (7)	0 (0)	1 (6)
Cisplatin-gemcitabine	1 (7)	0 (0)	1 (6)
 Cisplatin-pemetrexed 	5 (36)	O (0)	5 (28)
ICI, n (%)	34 (27)	47 (67)	81 (42)
Nivolumab	34 (100)	47 (100)	81 (100)
TT, n (%)	50 (40)	10 (14)	60 (31)
Afatinib	1 (2)	1 (10)	2 (3)
Crizotinib	10 (20)	0 (0)	10 (17)
• Erlotinib	31 (62)	8 (80)	39 (65)
• Gefitinib	2 (4)	1 (10)	3 (5)
Osimertinib	6 (12)	0 (0)	6 (10)
Overall total, n (%)	125 (100)	70 (100)	195 (100)

Table III. Completed and ongoing second-line treatments (only adeno – including adenocarcinoma and adenosquamous carcinoma – and squamous histotypes, n = 195), also including operated patients

CT = chemotherapy; CTD = doublet chemotherapy; ICI = immune checkpoint inhibitors; TT = target therapy

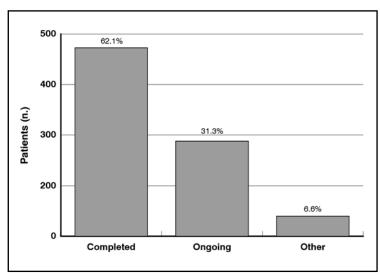


Figure 2. Number of patients per status upon closing of the database (July 2017)

Table III confirms that immune checkpoint inhibitors were used more than chemotherapy as second-line therapy, especially in squamous cell carcinoma.

Upon the database lock (July 2017), patients with at least one follow-up who had completed one line of treatment were 62.1%, 31.3% were still on treatment, while 6.6% of patients were waiting for supportive care, or a chemotherapy line, or radiotherapy (Figure 2).

The reasons for the discontinuation of treatment are reported in Tables IV (first-line therapy) and V (second-line therapy).

Both in the first- and second-line treatment, progression of disease and worsened health conditions were the main reasons for treatment discontinuation, the first accounting for more than a half of the causes of discontinuation. Despite the significant AEs

generally associated with chemotherapy, the backbone of first-line treatment, toxicity is reported to be the reason for discontinuation only in 6.5% of the patients in first-line treatment.

Pharmaceutical Expenditure

The pharmaceutical expenditure associated with drugs was calculated, as reported in Table VI.

The total cost for treatments was \in 6.5 million. In considering the expenditure per patient, some important differences appear. Patients who have completed the first-line therapy (chemotherapy) are associated with a lower pharmaceutical expenditure, while patients in

second- and third-line are associated with a 2- or 3-fold increase in the expenditure.

In addition, ongoing patients result in a greater expenditure per patient, compared with completed patients.

Also after adjustment for therapy duration, the cost per patient-month in patients who completed the first line of therapy is lower than the cost for other groups, and the cost per patient-month for ongoing patients is higher than the cost for completed patients.

Expenditure per patient is remarkably higher in mutated patients, versus wild-type patients or patients with unknown mutational status, who receive chemotherapy only (Table VII).

This is mainly due to longer therapy since, after adjustment for length of treatment, the cost per patient-month results similar between mutated and wild-type/unknown patients, except for patients carrying ALK mutation, whose cost remains higher. In the current scenario, patients eligible for target therapy and immune checkpoint inhibitors are increasing, thus also the pharmaceutical expenditure increases (Figure 3).

Nivolumab – the only immune checkpoint inhibitor authorized as second line upon the closing of the database – accounts for 66% of the expenditure for second-line treatments (€ 1,689,179), with a mean cost per patient treated of almost € 20,000 (several treatments were ongoing, therefore the mean cost is provisional). The expenditure for TKIs accounts for 30% of the expenditure for second-line treatments, with € 775,638 spent for 62 patients (about € 12,500 per patient).

In third line, 19 patients were treated with an immune checkpoint inhibitor (nivolumab), accounting for 88% of the expenditure for third-line therapy (\notin 363,735

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Reasons for first-line treatment interruption	N (%)
NO	184 (33.27)
YES	369 (66.73)
• PD	223 (60.43)
Worsened health conditions	76 (20.60)
Toxicity	24 (6.50)
Death	16 (4.34)
Stability	7 (1.90)
Lost at follow-up	7 (1.90)
Surgery	3 (0.81)
Remission	3 (0.81)
• NA	3 (0.81)
Moved abroad	2 (0.54)
Therapy switch	1 (0.27)
Gone to RT	1 (0.27)
Partial response	1 (0.27)
End of cycle	1 (0.27)
• Adj CT	1 (0.27)
Overall total	553 (100.00)

Table IV. Reasons for first-line treatment discontinuation

Adj CT = adjuvant chemotherapy; NA = not assessed; PD = progression of disease; RT = radiotherapy

Reasons for second-line treatment interruption	N (%)
NO	108 (52.68)
YES	97 (47.32)
• PD	56 (57.73)
Worsened health conditions	21 (21.65)
Death	9 (9.28)
Toxicity	4 (4.12)
End of cycle	3 (3.09)
Lost at follow-up	2 (2.06)
Gone to RT	1 (1.03)
Starting TKI	1 (1.03)
Overall total	205 (100.00)

Table V. Reasons for second-line treatment discontinuation.

PD = progression of disease; RT = radiotherapy; TKI = Tyrosine Kinase Inhibitors

Status	N	Length of therapy (months)	Total expenditure (€)	Expenditure per patient (€)	Expenditure per patient-month (€)
Completed	361	8.4	3,190,954	9,065	1,077
1 st line	200	5.3	746,668	3,909 ¹	736
1 st line/maintenance therapy	32	9.8	375,433	11,732	1,199
2 nd line	103	11.8	1,412,420	13,713	1,158
3 rd line	26	17.0	656,434	25,247	1,482
Ongoing	173	9.7	3,316,753	19,396	1,996
1 st line	81	4.6	458,130	5,799 ²	1,265
1 st line/maintenance therapy	16	10.0	323,236	20,202	2,017
2 nd line	62	14.4	1,872,491	30,201	2,099
3 rd line	14	18.3	662,895	47,350	2,586
Overall total	534	8.8	6,507,707	12,443	1,409

Table VI. Pharmaceutical expenditure per status

¹ Total expenditure not reported in 9 patients

² Total expenditure not reported in 2 patients

Mutational status	Ν	Length of therapy (months)	Total expenditure (€)	Expenditure per patient (€)	Expenditure per patient-month (€)
Mutated (ALK and EGFR)	46	15.0	1,336,421	29,053	1,942
ALK	14	12.3	572,291	40,878	3,336
EGFR	32	16.1	764,131	23,879	1,479
UK and WT	397	9.0	4,599,418	11,854	1,316
WT/UK	167	9.0	1,761,141	10,805 ¹	1,201
WT	230	9.0	2,838,278	12,615 ²	1,399
Overall total	443	9.6	5,935,840	13,677	1,420

Table VII. Pharmaceutical expenditure per mutational status for patients who completed at least one line of therapy

¹ Total expenditure not reported in 4 patients

² Total expenditure not reported in 5 patients

ALK = Anaplastic Lymphoma Kiinase; EGFR = Epidermal Growth Factor Receptor; UK = unknown; WT = wild-type

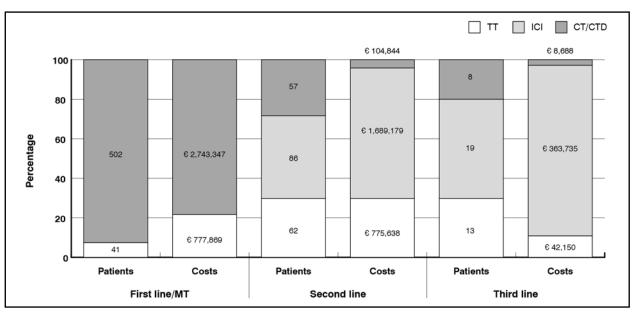


Figure 3. Total expenditure considering each separate line of therapy. Per each line of therapy, the left column represents the number of patients treated with each type of therapy, while the right column reports the relative expenditure.

CT = chemotherapy; CTD = doublet chemotherapy; ICI = immune checkpoint inhibitors; MT = maintenance therapy; TT = target therapy

TTNT1 (n - 100)	Reduced model							
TTNT1 (n = 190)	Effect (95% CI) p-value							
Male	-1.25	-3.04	0.54	0.17				
Maintenance	4.83	2.86	6.80	< 0.001				
TT vs chemo 1L	8.06	4.27	11.85	< 0.001				

Table VIII. Results of the multivariate analysis of the TTNT1. Only a reducedmodel, after a LASSO regression, is reported. All variables with a p value <0.05</td>are statistically associated with TTNT1

1L = patients who didn't undergo second-line therapy; TT = target therapy; TTNT1 = time between diagnosis and therapy reassessment after failure of first-line treatment

out of \notin 414,572), while TKIs were used in 13 patients, for a total of \notin 42,150 (several treatments were still ongoing upon the closing of the database).

while in the first seven months of 2017 was € 1,234,507 and € 596,887, respectively.

MULTIVARIATE ANALYSES

According to the multivariate analyses, receiving maintenance therapy results in a 4.83-month longer TTNT1 (Table VIII; see Table II-suppl, in the Supplementary files to view the complete and the reduced model together). Furthermore, starting TT in first line is associated with a longer duration of treatment with respect to chemotherapy (+8.06 months).

TTNT2 was higher in better ECOG PS (Table IX; see Table III-suppl, in the Supplementary files to view the complete and the reduced model together). Finally, being eligible for TKIs both in first line and after the first progression of disease increases TTNT. The multivariate analysis of total costs (Table X; see Table IV-suppl, in the Supplementary files to view the complete and the reduced model together) shows that every additional month of therapy is associated with a cost increase ranging from \notin 661 in first line up to \notin 1,383 for patients who received a complete or partial third-line therapy (3L).

A trend toward a cost increase from patients 1L to patients 3L is shown also in those ongoing treatment with respect to those that completed the therapy. The therapy for squamous cell carcinoma and other non-adeno histotypes costs less than that for adenocarcinoma and adenosquamous carcinoma for patients in first line. The same trend is observed for patients who didn't undergo thirdline therapy (2L) and 3L, but the savings are not statistically significant. In patients 2L and 3L, cost of mutated patients is higher than that of WT or unknown.

TTNTO (n - 100)	Reduced model						
TTNT2 (n = 104)	Ef	p-value					
PS 1 vs 0	-4.08	-7.64	-0.52	< 0.05			
PS 2 vs 0	-5.29	-9.77	-0.82	< 0.05			
PS 3 vs 0	-8.57	-19.46	2.32	0.12			
Former smoker vs nonsmoker	1.80	-2.97	6.58	0.46			
Smoker vs nonsmoker	-0.17	-5.12	4.78	0.95			
Other histotype vs adeno	6.19	-0.19	12.56	0.06			
Squamous vs adeno	0.22	-3.31	3.75	0.90			
Mutated vs WT/UK	1.36	-3.52	6.24	0.58			
Maintenance	3.19	-2.12	8.49	0.24			
TT vs chemo 1L	16.44	6.62	26.27	< 0.005			
ICI vs chemo 2L	0.27	-3.91	4.44	0.90			
TT vs chemo 2L	4.88	1.23	8.52	<0.01			

 Table IX. Results of the multivariate analysis of the TTNT2. Only a reduced model, after a LASSO regression, is reported. All variables with a p value <0.05 are statistically associated with TTNT2. "Adeno" includes both adenocarcinoma and adenosquamous carcinoma</th>

1L = patients who didn't undergo second-line therapy; 2L = patients who didn't undergo third-line therapy; ICI = immune checkpoint inhibitors; PS = perfomance status; TTNT2 = time between diagnosis and therapy reassessment after failure of second-line treatment; TT = target therapy; UK = unknown; WT = wild-type

The use of target therapy in first line results in increased costs with respect to che-

motherapy, while for patients 2L and 3L there is no cost difference between target therapy and chemotherapy used in second line. The use of immune checkpoint inhibitors in second line increases costs both for patients 2L and 3L, compared to the use of chemotherapy. Third line treatments do not affect the total treatment cost; in fact total costs according to specific class

	Patients 1L (n = 312)			Pa	Patients 2L (n = 154)			Patients 3L (n = 40)				
	Mean	95%	6 CI	р	Mean	95%	6 CI	р	Mean	95%	6 CI	р
Male									-8,751	-22,398	4,896	
Age (per year)	-34	-91	23									
PS 1 vs 0	584	-547	1,714						9,649	-3,347	22,645	
PS 2 vs 0	-396	-2,342	1,550						4,888	-21,222	30,998	
PS 3 vs 0	907	-3,195	5,009									
Length of therapy (months)	661	541	780	***	1,050	711	1,388	***	1,383	628	2,137	***
Former smoker vs nonsmoker	1,569	-159	3,297	o	-4,116	-13,258	5,026		6,211	-11,708	24,130	
Smoker vs nonsmoker	1,473	-271	3,217	0	-4,744	-14,537	5,049		7,842	-13,688	29,373	
Stage III vs IV					8,988	3,280	14,696	**	-10,347	-24,161	3,466	
Other histotype vs adeno	-5,519	-8,459	-2,579	***	-8,451	-20,246	3,344		-12,232	-29,127	4,664	
Squamous vs adeno	-4,015	-5,174	-2,856	***	-2,442	-8,794	3,910					
Mutated vs WT/UK					10,069	923	19,214	*	17,256	870	33,641	*
Ongoing vs completed therapy	3,062	1,882	4,242	***	9,316	2,691	15,941	**	28,904	14,734	43,074	***
Maintenance	6,231	4,637	7,824	***	4,352	-4,121	12,826		5,767	-12,560	24,094	
TT vs chemo 1L	5,977	4,037	7,916	***								
ICI vs chemo 2L					11,300	3,583	19,016	**	25,202	8,106	42,297	**
TT vs chemo 2L					3,673	-3,872	11,217		1,447	-14,464	17,359	
Adjusted R ²		0.6458		***		0.428		***		0.6667		***

Table X. Results of the multivariate analysis of total costs. Only a reduced model, after a LASSO regression, is reported. Patients who received radiotherapy were not considered. All variables with a p value <0.05 are statistically associated with total costs. "Adeno" includes both adenocarcinoma and adenosquamous carcinoma

*** p-value <0.001, ** p-value < 0.01, * p-value < 0.05, ° p-value < 0.1

1L = patients who didn't undergo second-line therapy; 2L = patients who didn't undergo third-line therapy; 3L = patients who received a complete or partial third-line therapy; ICI = immune checkpoint inhibitors; PS = performance status; TT = target therapy; UK = unknown; WT = wild-type;

therapy (chemotherapy, target therapy and immune checkpoint inhibitors) are similar (data not shown).

For patients 3L, third-line treatment did not result a predictor of costs.

DISCUSSION

This study was carried on in order to provide updated information about the epidemiology, therapy used, and costs of advanced NSCLC in Italy since the introduction of immune checkpoint inhibitors. Since treatments are rapidly changing – and more in this field than in others – and the guidelines need to be updated very frequently, recent data must be used in order to obtain a reliable picture of the drugs used and the real costs incurred today by the healthcare systems.

In this study, adenocarcinoma was detected in 62% of patients, while 34% had squamous cell carcinoma.

LIFE [11] – an Italian study taking into account NSCLC patients with advanced stage of disease (603 subjects from 60 centers across Italy) – found a similar proportion of adenocarcinoma (72%), but a different percentage of squamous cell carcinoma (17%). In the selected population, molecular characterization was reported only in 64% of the cases. This low mutational detection rate is consistent with other Italian studies, such as LIFE [11], which also reported that around 60% of patients had undergone a biomarker testing. However, in this study, when considering the non-squamous population, the rate increases up to 88%.

Of the Italian patients with advanced disease enrolled in the period 2011-2016 in the PIv-OTAL study [17], 51% were tested for biomarkers.

As for the prevalence of mutations found in the study population, it is consistent with AIOM data, found in "I numeri del cancro in Italia 2016" ("The numbers of cancer in Italy") [1]: 12.7% of EGFR mutations (10-15% according to AIOM) and 4.3% of ALK translocations (4% according to AIOM).

The therapeutic regimens used in the study population are generally aligned with international guidelines [5,7,18,19]. Nevertheless, 24 patients received chemotherapy as first line, despite carrying a mutation. In some of these cases, chemotherapy was administered only during the timespan needed to perform the analysis of the mutational status. In other cases, ALK mutated patients were identified, but the reimbursement of crizotinib as first line had not yet been approved (it has been active since March 2016).

Progression of disease was by far the main reason for the discontinuation of therapy, both in the first- and second-line treatment, as reported in the LIFE study [11] (on third-line treatment). Toxicity was reported to be responsible for only 6.50% and 4.12% of discontinuations of therapy in first- and second-line treatments, respectively. This is consistent with the EPI-CLIN study [20], in which 6% of patients discontinued first-line chemotherapy due to toxic-ity. On the contrary, in the LIFE study, toxicity was responsible for 14% of discontinuations of chemotherapy and 9% of discontinuations of erlotinib in third-line treatment.

With regard to the costs, this analysis concerns the impact on the expenditure incurred by the center. Drug expenditure was calculated as the price payed by the local pharmacy per single dose multiplied by the actual quantity administered. Credit notes and national management agreement are not included.

In this study, the administration of single and double chemotherapy regimens in first-line, non-mutated patients is clearly the therapeutic option which decreases the total treatment cost, whereas patients in second and third line are associated with an increase in expenditure, attributable to the introduction of immune checkpoint inhibitors (nivolumab) and to the increasing use of TKIs (crizotinib, afatinib, erlotinib, gefitinib) in mutated patients (Table VII). As a result, the cost of therapy per patient is remarkably higher above all in patients carrying ALK mutation (Table VII).

In addition, patients on treatment represent a greater expenditure (two-fold) per patient, compared to completed patients. This is likely due to an increase in the follow-up time and the introduction of new and more expensive drugs during the study period.

Since target therapy and immune checkpoint inhibitors are the main responsible for the increase in the costs of therapy (Figure 3), it was deemed necessary to perform multivariate analyses on TTNT1, TTNT2, and total costs, in order to highlight the effects of the main variables of interest, while correcting such effects for possible confounding variables (gender, age, PS, duration of therapy, smoking habit, staging, histotype, and patient's status).

Multivariate regression was performed according to LASSO methods, i.e. model predictors were selected minimizing the sum of square of residuals plus a penalty equal to the absolute value of the magnitude of coefficients. This particular type of regression is well-suited for models showing high levels of multicollinearity (e.g. patients' status and class treatment). Furthermore the algorithm automatically forces some coefficients to become zero, which is the ideal for producing simpler models. According to this methods we were able, starting with the complete model containing all possible covariates, to define the best reduced model that predict TTNT1, TTNT2, and total cost.

Multivariate analyses show that receiving a TKI after progression increases the costs in patients 1L, but also improves TTNT (Tables IX and X). These data confirm the current management of mutated patients (EGFR, ALK, and ROS1) and justify the increased costs for their treatment. In light of these data, an increase in the rate of molecular characterization is highly desirable.

Adeno patients who are eligible for maintenance after progression show a significant cost increase, due to the higher cost of pemetrexed and bevacizumab compared to the cisplatin chemotherapy and taxol (docetaxel).

As confirmed by our multivariate analysis (Table IX), the performance status affects directly the TTNT2. In fact, performance status is one of the earliest recognized prognostic factors, and is probably the strongest one [21,22].

The multivariate analysis of total costs (Table X) shows that every additional month of therapy is associated with a cost increase ranging from \in 661 in first line up to \in 1,383 for patients 3L. This increase is due to the greater costs for the treatments used in second and third line.

The introduction of immune checkpoint inhibitors in subsequent treatment lines had the most significant impact on treatment costs in selected patients, increasing expenditure by \in 11,300 in patients 2L and \in 25,202 in patients 3L (Table X), even though a statistically significant clinical benefit was not detected (Table IX).

For patients 3L, third-line treatment, due to the heterogeneity of treatment pathways followed, did not result a predictor of costs.

Among the strengths of this study, there are sample size analyzed and the real-world design, that allowed to take reliable, clear and up-to-date picture about drug utilization of the modern therapies nowadays used in the treatment of advanced NSCLC, with clear presentation of the relevant costs of this treatment.

On the contrary, the first weakness is the absence of data about progression free survival, that forced us to use TTNT1 and TTNT2 as proxies, even though the comparison of time to next treatment across treatment regimens may be affected by the bias due to the fact that many chemotherapies have fixed duration while newer drugs are administered continuously until disease progression or unacceptable toxicity. This is due also to the fact that analyses were planned after data collection. In addition, the costs associated with possible drug-related hospitalizations were not taken into account.

Due to the rapid change of drug armamentarium in the setting of lung cancer, the period of observation was relatively short, in order to focus on some specific drugs used in a not-toowide time span. For the same reason, it was not possible to consider the impact of pembrolizumab and osimertinib in the first-line treatment.

Finally, a possible bias may come from the patient population, that was probably healthier than expected, as 86% of patients had ECOG PS 0 or 1.

In 2016, the "Rapporto OsMed" ("OsMed Report") showed that antineoplastic and immunomodulatory drugs were the most expensive drugs among those supplied by the Healthcare Service in Italy in 2017, accounting for more than \notin 5,063 million (\notin 83.57 pro capite) [23].

In Italy, the mean cost of an oncologic therapy in the period 2010-2014 was over ten-fold higher than in the period 1995-1999 [24].

The aging population, together with the increase in the costs of the new therapeutic options, results in an increase in the costs borne by the health systems. Our study shows that an increased mutational selection and the introduction of immune checkpoint inhibitors in the treatment of NSCLC are likely to produce significant cost increases, while progressionfree survival gains had still to be demonstrated. Among the cost-containing measures are the implementation of prevention strategies, personalized therapies, and regulatory efforts to ensure the therapeutic appropriateness. In addition, at international level, some initiatives have been undertaken in order to share information, the identification of the best practice, the most appropriate therapeutic options, and price negotiations [25].

In 2014, the first immune checkpoint inhibitors entered the market – targeting the receptor interaction PD-1/PD-L1 – and rapidly spread in the therapeutic landscape, as confirmed by our analysis: immune checkpoint inhibitors were used more than chemotherapy as second-

line therapy, especially in squamous cell carcinoma, since nivolumab was initially (2016) approved in squamous cell carcinoma (Table III).

Personalized therapies and new agents are more expensive than general drugs and chemotherapy, hence the raise of the sustainability issue. A proper and prompt access to these innovative drugs is mandatory, yet financial sustainability must be ensured. To this end, the Italian Regulatory Agency [26] has recently defined the criteria to evaluate whether a drug is innovative and can be reimbursed by a special cancer drug fund. These criteria are:

- 1. Therapeutic need;
- 2. Added therapeutic value;
- 3. Quality of evidence, or robustness of clinical trials.

Each dimension is graded, and innovativeness is recognized if the first two are categorized as "maximum" or "important" and the third as "high". Innovativeness is not recognized if the first two dimensions are considered "poor" or "absent" or if the third is "low" or "very low" (even if a "low" quality of evidence could be accepted in case of drugs for rare diseases). Intermediate situations are judged on a case-by-case basis.

In Italy, two separate funds for innovative drugs were established, one of which dedicated to oncology [27].

In 2017, the Italian Fund for innovative oncological drugs covered pertuzumab, paclitaxel, idelalisib, ibrutinib, nivolumab, and pembrolizumab [28]. Among these, nivolumab and pembrolizumab in the treatment of NSCLC are responsible for the greatest fund consumption, due to the high number of cases (followed by HER2⁺ breast cancer).

While the national regulatory agency defines the reimbursement rules for innovative drugs, clinicians and local decision-makers should use the highest standards of care, providing therapeutic pathways which maximize the quality of life and the clinical outcomes in all patients. As for the therapy with TKIs, our analysis confirms their real-word effectiveness and economic impact, which is mainly due to first-line options. As for the economic impact of immune checkpoint inhibitors in the treatment of NSCLC, our analysis only described the time frame in which nivolumab entered the second line and pembrolizumab was reimbursed in selected PD-L1 positive patients, both in first and second line. The evaluation of the economic impact of these innovative drugs should take into account the differential absorption of resources associated with the PD-L1 strategy.

Nivolumab was reimbursed in "all comers" second-line patients also in other EU countries, such as Switzerland [29] and the UK [30], where cost-effectiveness analyses showed that the "all comers" strategy with nivolumab was not cost-effective: the costs of nivolumab were sustainable – at local prices – only in PD-L1 positive patients. In the Italian setting, costeffectiveness analyses are lacking. However, a recently published budget impact model about the selection strategy drew similar conclusions [31]. The introduction of pembrolizumab in PD-L1 expressors received positive evaluations by studies from UK [32,33], Scotland [34], and France [35], and might have delivered a greater sustainability. Immune checkpoint inhibitors are being evaluated by FDA and EMA for new indications in a NSCLC subset of patients: their binding to a molecular biomarker characterizes all clinical trials, as well as the study of combination therapies with chemotherapy. Considering our predictors of increased treatment costs in subsequent lines (in particular, receiving immune checkpoint inhibitors in second line), the use of immune checkpoint inhibitors in selected first-line PD-L1 positive (>50%) patients was likely an appropriate and sustainable option for the Center. Future extension of eligibility for first-line treatment with immune checkpoint inhibitors is highly desirable in terms of improved overall survival, PFS and quality of life, and yet, the affordability of these options will require a careful evaluation.

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Conflicts of Interests

The authors declare they have no competing financial interests concerning the topics of this article.

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